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## FACSIMILE TRANSMITTAL SHEET

TO: E. Johnson  
Group 1765

FROM: Felissa Cagan

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DATE: February 4, 2004

RE: U.S. Serial No. 09/996,020

NO. OF PAGES: 20  
(INCLUDING COVER)

☐ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLE

NOTES/COMMENTS:

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PTO/SB/21 (08-03)

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FORM**

(to be used for all correspondence after initial filing)

Application Number	09/996.020
Filing Date	November 27, 2001
First Named Inventor	Mazen Hanna et al.
Art Unit	1765
Examiner Name	E. Johnson
Attorney Docket Number	0108.11
Total Number of Pages in This Submission	

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks  		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Felissa H. Cagan; Nektar Therapeutics
Signature	<i>Felissa H. Cagan</i>
Date	February 4, 2004

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Typed or printed name	Kathy Honnert		
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Hanna et al.	)	Group Art Unit 1754
Appl. No.	:	09/996,020	)	
Filed	:	November 27, 2001	)	I hereby certify that this correspondence and all
For	:	Method and Apparatus for the	)	marked attachments are being facsimile
		Formation of Particles	)	transmitted to the Assistant Commissioner for
			)	Patents Group Art Unit 1754, 703-872-9306
Examiner	:	Johnson, Edward M.	)	(Date)
			)	Kathy Konner

AMENDMENT AND RESPONSE

Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This is in response to the Notice of Non-Compliant Amendment (37 CFR 1.121) in the above-referenced case dated January 26, 2004. Reconsideration of the rejections in view of the following amendments and remarks is respectfully requested.